



Section 8  
PATENT

Case Docket No. AXIAMD.005CP1

Date: September 28, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew H. Cragg, M.D.

App. No. : 09/848,556

Filed : May 3, 2001

For : METHOD OF  
PERFORMING  
PROCEDURES IN THE  
SPINE

Group Art Unit : 3736

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

September 28, 2001

(Date)

*Gerard von Hoffmann*  
Gerard von Hoffmann, Reg. No. 33,043

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 28, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) An extension of time to respond for one (1) month(s) is hereby requested.

Time Extension Fee:

(X) one month (\$55 small entity)

(X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

(X) A Notice to File Missing Parts.

(X) Return prepaid postcard.

10/05/2001 HNDHNN1 00000018 111410 09848556  
05 FC:215 29.00 CH 26.00 OP



PATENT

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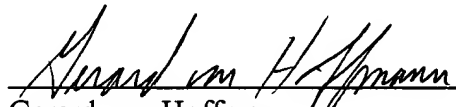
Date: September 28, 2001

(X) Fees as calculated below:

FILING FEE AND ADDITIONAL CLAIM FEES		\$ 1,990
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	One (1) months	\$ 110
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 2,230
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 1,115		
TOTAL FEES SUBMITTED HERewith		\$ 1,115

(X) A check in the amount of \$1,115 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Gerard von Hoffmann  
Registration No. 33,043  
Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/848,556	05/03/2001	Andrew H. Cragg	AXIAMD.005CP1

CONFIRMATION NO. 6145

20995

 KNOBBE MARTENS OLSON & BEAR LLP  
 620 NEWPORT CENTER DRIVE  
 SIXTEENTH FLOOR  
 NEWPORT BEACH, CA 92660

FORMALITIES LETTER



\*OC000000006241031\*

Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$640.
  - \$360 for 40 total claims over 20.
  - \$280 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1060.

10/05/2001 NH04MM1 00000018 09848556

01 FC:201	370.00 DP
02 FC:205	65.00 DP
03 FC:203	360.00 DP
04 FC:202	294.00 DP

A copy of this notice **MUST** be returned with the reply.MTC  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 NH04MM1 00000018 111410 09848556

01 FC:201 370.00 DP

